

Institut für Mathematik

Discrete Thickness

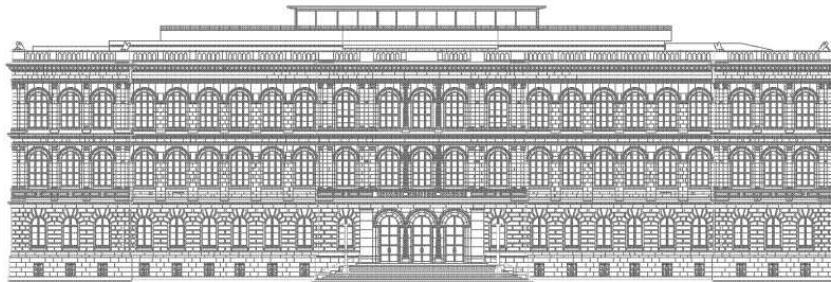
by

*S. Scholtes*

Report No. **70**

2014

February 2014



Institute for Mathematics, RWTH Aachen University

Templergraben 55, D-52062 Aachen  
Germany

# DISCRETE THICKNESS

SEBASTIAN SCHOLTES

ABSTRACT. We investigate the relationship between a discrete version of thickness and its smooth counterpart. These discrete energies are defined on equilateral polygons with  $n$  vertices. It will turn out that the smooth ropelength, which is the scale invariant quotient of length divided by thickness, is the  $\Gamma$ -limit of the discrete ropelength for  $n \rightarrow \infty$ , regarding the topology induced by the Sobolev norm  $\|\cdot\|_{W^{1,\infty}(\mathbb{S}_1, \mathbb{R}^d)}$ . This result directly implies the convergence of almost minimizers of the discrete energies in a fixed knot class to minimizers of the smooth energy. Moreover, we show that the unique absolute minimizer of inverse discrete thickness is the regular  $n$ -gon.

## 1. INTRODUCTION

In this article we are concerned with the relationship of a discrete version of the *thickness*  $\Delta$  of a curve  $\gamma$ , defined by

$$\Delta[\gamma] := \inf_{\substack{x,y,z \in \gamma(\mathbb{S}_1) \\ x \neq y \neq z \neq x}} r(x,y,z)$$

on  $\mathcal{C}$ , the set of all curves  $\gamma : \mathbb{S}_1 \rightarrow \mathbb{R}^d$  that are parametrised by arc length, i.e.,  $\gamma \in C^{0,1}(\mathbb{S}_1, \mathbb{R}^d) = W^{1,\infty}(\mathbb{S}_1, \mathbb{R}^d)$  with  $|\gamma'| = 1$  a.e., and have length  $\int_{\mathbb{S}_1} |\gamma'| dt = 1$ . Here,  $\mathbb{S}_1$  is the circle of length 1 and  $r(x,y,z)$  the radius of the unique circle that contains  $x,y$  and  $z$ , which is set to infinity if the three points are collinear. This notion of thickness was introduced in [8] and is equivalent to the Federer's reach, see [5]. Geometrically, the thickness of a curve gives the radius of the largest uniform tubular neighbourhood about the curve that does not intersect itself. The *ropelength*, which is length divided by thickness, is scale invariant and a knot is called *ideal* if it minimizes ropelength in a fixed knot class or, equivalently, minimizes this energy amongst all curves in this knot class with fixed length. These ideal knots are of great interest, not only to mathematicians but also to biologists, chemists, physicists, . . . , since they exhibit interesting physical features and resemble the time-averaged shapes of knotted DNA molecules in solution [25, 10, 11], see [26, 24] for an overview of physical knot theory with applications. The existence of ideal knots in every knot class was settled in [2, 9, 7] and it was found that the unique absolute minimizer (in all knot classes) is the round circle. Furthermore, this energy is self-repulsive, meaning that finite energy prevents the curve from having self intersections. By now it is well-known that thick curves, or in general manifolds of positive reach, are of class  $C^{1,1}$  and vice versa, see [5, 22, 13, 21]. In [2] it was shown that ideal links must not be of class  $C^2$  and computer experiments in [28] suggest that  $C^{1,1}$  regularity is optimal for knots, too. Still, there is a conjecture [2, Conjecture 24] that ropelength minimizers are piecewise analytic. Further interesting properties of critical points for the ropelength as well as the Euler-Lagrange equation were derived in [22, 23, 1].

Another way to write the thickness of an arc length curve is

$$(1) \quad \Delta[\gamma] = \min\{\min\text{Rad}(\gamma), 2^{-1} \text{dcsd}(\gamma)\},$$

which by [22] holds for all arc length curves with positive thickness. The *minimal radius of curvature*  $\min\text{Rad}(\gamma)$  of  $\gamma$  is the inverse of the *maximal curvature*  $\max\text{Curv}(\gamma) := \|\gamma''\|_{L^\infty}$  and  $\text{dcsd}(\gamma) := \min_{(x,y) \in \text{dcrit}(\gamma)} |y - x|$  is the *doubly critical selfdistance*. The set of *doubly critical points*  $\text{dcrit}(\gamma)$  of a  $C^1$  curve  $\gamma$  consists of all pairs  $(x,y)$  where  $x = \gamma(t)$  and  $y = \gamma(s)$  are distinct points on  $\gamma$  so that  $\langle \gamma'(t), \gamma(t) - \gamma(s) \rangle = \langle \gamma'(s), \gamma(t) - \gamma(s) \rangle = 0$ , i.e.,  $s$  is critical for

---

*Date:* January 22, 2014.

*2010 Mathematics Subject Classification.* 49J45; 57M25, 49Q10, 53A04.

*Key words and phrases.* discrete energy, thickness, ropelength,  $\Gamma$ -convergence, geometric knot theory, ideal knot, Schur's Theorem.

$u \mapsto |\gamma(t) - \gamma(u)|^2$  and  $t$  for  $v \mapsto |\gamma(v) - \gamma(s)|^2$ .

Appropriate versions of thickness for polygons derived from the representation in (1) are already available. The curvature of a polygon, localized at a vertex  $y$ , is defined by

$$\kappa_d(x, y, z) := \frac{2 \tan(\frac{\varphi}{2})}{|x-y|+|z-y|} \quad \text{and as an alternative} \quad \kappa_{d,2}(x, y, z) := \frac{\varphi}{\frac{|x-y|+|z-y|}{2}}$$

where  $x$  and  $z$  are the vertices adjacent to  $y$  and  $\varphi = \angle(y-x, z-y)$  is the exterior angle at  $y$ , note  $\kappa_{d,2} \leq \kappa_d$ . We then set  $\min\text{Rad}(p) := \max\text{Curv}(p)^{-1} := \min_{i=1, \dots, n} \kappa_d^{-1}(x_{i-1}, x_i, x_{i+1})$  if the polygon  $p$  has the consecutive vertices  $x_i$ ,  $x_0 := x_n$ ,  $x_{n+1} := x_1$ ;  $\min\text{Rad}_2$  and  $\max\text{Curv}_2$  are defined accordingly. The doubly critical self distance of a polygon  $p$  is given as for a smooth curve if we define  $\text{dcrit}(p)$  to consist of pairs  $(x, y)$  where  $x = p(t)$  and  $y = p(s)$  and  $s$  locally extremizes  $u \mapsto |p(t) - p(u)|^2$  and  $t$  locally extremizes  $v \mapsto |p(v) - p(s)|^2$ . Now, the discrete thickness  $\Delta_n$  defined on  $\mathcal{P}_n$ , the class of arc length parametrisations of equilateral polygons of length 1 with  $n$  segments is defined analogous to (1) by

$$\Delta_n[p] = \min\{\min\text{Rad}(p), 2^{-1} \text{dcsd}(p)\}$$

if all vertices are distinct and  $\Delta_n[p] = 0$  if two vertices of  $p$  coincide. This notion of thickness was introduced and investigated by Rawdon in [16, 17, 18, 19] and by Millett, Piatek and Rawdon in [14]. In this series of works alternative representations of smooth and discrete thickness were established that were then used to show that not only does the value of the minimal discrete inverse thickness converge to the minimal smooth inverse thickness in every tame knot class, but, additionally, a subsequence of the discrete equilateral minimizers, which are shown to exist in every tame knot class, converge to a smooth minimizer of the same knot type in the  $C^0$  topology as the number of segments increases, at least if we require that all discrete minimizers are bounded in  $L^\infty$ . Furthermore, it was shown that discrete thickness is continuous, for example on the space of simple equilateral polygons with fixed segment length. In [3, 19] similar questions for more general energy functions were considered.

In the present work we continue this line of thought and investigate the way in which the discrete thickness approximates smooth thickness in more detail. It will turn out that the right framework is given by  $\Gamma$ -convergence. This notion of convergence that was invented by DeGiorgi is devised in such a way, as to allow the convergence of minimizers and even almost minimizers. For the convenience of the reader we summarise the relevant facts on  $\Gamma$ -convergence in Section 2.

**Theorem 1 (Convergence of discrete inverse to smooth inverse thickness).**

*For every tame knot class  $\mathcal{K}$  holds*

$$\Delta_n^{-1} \xrightarrow{\Gamma} \Delta^{-1} \quad \text{on } (\mathcal{C}(\mathcal{K}), \|\cdot\|_{W^{1,\infty}(\mathbb{S}_1, \mathbb{R}^3)}).$$

Here, the addition of a knot class  $\mathcal{K}$  means that only knots of this particular knot class are considered. The functionals are extended by infinity outside their natural domain. By the properties presented in Section 2 together with Proposition 4, we obtain the following convergence result of polygonal ideal knots to smooth ideal knots improving the convergence in [19, Theorem 8.5] from  $C^0$  to  $W^{1,\infty} = C^{0,1}$ .

**Corollary 2 (Ideal polygonal knots converge to smooth ideal knots).**

*Let  $\mathcal{K}$  be a tame knot class,  $p_n \in \mathcal{P}_n(\mathcal{K})$  bounded in  $L^\infty$  with  $|\inf_{\mathcal{P}_n(\mathcal{K})} \Delta_n^{-1} - \Delta_n^{-1}(p_n)| \rightarrow 0$ . Then there is a subsequence*

$$p_{n_k} \xrightarrow[k \rightarrow \infty]{W^{1,\infty}(\mathbb{S}_1, \mathbb{R}^3)} \gamma \in \mathcal{C}(\mathcal{K}) \quad \text{with} \quad \Delta^{-1}[\gamma] = \inf_{\mathcal{C}(\mathcal{K})} \Delta^{-1} = \lim_{k \rightarrow \infty} \Delta_{n_k}[p_{n_k}].$$

The subsequent compactness result is proven via a version of Schur's Comparison Theorem (see Proposition 8) that allows to compare polygons with circles.

**Proposition 3 (Compactness).**

*Let  $p_n \in \mathcal{P}_n(\mathcal{K})$  bounded in  $L^\infty$  with  $\liminf_{n \rightarrow \infty} \max\text{Curv}(p_n) < \infty$ . Then there is  $\gamma \in$*

$C^{1,1}(\mathbb{S}_1, \mathbb{R}^d)$  and a subsequence

$$p_{n_k} \xrightarrow[k \rightarrow \infty]{W^{1,\infty}(\mathbb{S}_1, \mathbb{R}^d)} \gamma \in \mathcal{C} \quad \text{with} \quad \max \text{Curv}(\gamma) \leq \liminf_{n \rightarrow \infty} \max \text{Curv}(p_n).$$

This result is then used to show another compactness result that additionally guarantees that the limit curve belongs to the same knot class, if one assures that the doubly critical self distance is bounded, too.

**Proposition 4 (Compactness II).**

Let and  $p_n \in \mathcal{P}_n(\mathcal{K})$  bounded in  $L^\infty$  with  $\liminf_{n \rightarrow \infty} \Delta_n[p_n]^{-1} < \infty$ . Then there is

$$\gamma \in \mathcal{C}(\mathcal{K}) \cap C^{1,1}(\mathbb{S}_1, \mathbb{R}^d) \quad \text{with} \quad p_{n_k} \rightarrow \gamma \text{ in } W^{1,\infty}(\mathbb{S}_1, \mathbb{R}^d).$$

If the knot class is not fixed the unique absolute minimizers of  $\Delta_n^{-1}$  is the regular  $n$ -gon.

**Proposition 5 (Regular  $n$ -gon is unique minimizer of  $\Delta_n^{-1}$ ).**

Let  $p \in \mathcal{P}_n$  and  $g_n$  the regular  $n$ -gon. Then

$$\Delta_n[g_n]^{-1} \leq \Delta_n[p]^{-1},$$

with equality if and only if  $p$  is a regular  $n$ -gon.

**Acknowledgement** The author thanks H. von der Mosel, for his interest and many useful suggestions and remarks.

## 2. PRELUDE IN $\Gamma$ -CONVERGENCE

In this section we want to acquaint the reader with  $\Gamma$ -convergence and repeat its (to us) most important property.

**Definition 6 ( $\Gamma$ -convergence).**

Let  $X$  be a topological space,  $\mathcal{F}, \mathcal{F}_n : X \rightarrow \overline{\mathbb{R}} := \mathbb{R} \cup \{\pm\infty\}$ . We say that  $\mathcal{F}_n$   $\Gamma$ -converges to  $\mathcal{F}$ , written  $\mathcal{F}_n \xrightarrow{\Gamma} \mathcal{F}$ , if

- for every  $x_n \rightarrow x$  holds  $\mathcal{F}(x) \leq \liminf_{n \rightarrow \infty} \mathcal{F}_n(x_n)$ ,
- for every  $x \in X$  there are  $x_n \rightarrow x$  with  $\limsup_{n \rightarrow \infty} \mathcal{F}_n(x_n) \leq \mathcal{F}(x)$ .

The first inequality is usually called *lim inf inequality* and the second one *lim sup inequality*. Note, that if the functionals are only defined on subspaces  $Y$  and  $Y_n$  of  $X$  and we extend the functionals by plus infinity on the rest of  $X$  it is enough to show the *lim inf inequality* holds for every  $x_n \in Y_n$ ,  $x \in X$  and the *lim sup inequality* for  $x \in Y$  and  $x_n \in Y_n$  in order to establish  $\mathcal{F}_n \xrightarrow{\Gamma} \mathcal{F}$ . In our application we have  $X = \mathcal{C}(\mathcal{K})$ ,  $Y = \mathcal{C}(\mathcal{K}) \cap C^{1,1}(\mathbb{S}_1, \mathbb{R}^d)$  and  $Y_n = \mathcal{P}_n(\mathcal{K})$ .

This convergence is modeled in such a way that it allows the convergence of minimizers and even almost minimizers of the functionals  $\mathcal{F}_n$  to minimizers of the limit functional  $\mathcal{F}$ .

**Theorem 7 (Convergence of minimizers, [4, Corollary 7.17, p.78]).**

Let  $\mathcal{F}_n, \mathcal{F} : X \rightarrow \overline{\mathbb{R}}$  with  $\mathcal{F}_n \xrightarrow{\Gamma} \mathcal{F}$ . Let  $\varepsilon_n > 0$ ,  $\varepsilon_n \rightarrow 0$  and  $x_n \in X$  with  $|\inf \mathcal{F}_n - \mathcal{F}_n(x_n)| \leq \varepsilon_n$ . If  $x_{n_k} \rightarrow x$  then

$$\mathcal{F}(x) = \inf \mathcal{F} = \lim_{k \rightarrow \infty} \mathcal{F}_n(x_{n_k}).$$

In order to use this result in our application where we want to show that minimizers of the discrete functional  $\mathcal{F}_n$  converge to minimizers of the “smooth” functional  $\mathcal{F}$  we do need  $\mathcal{F}_n \xrightarrow{\Gamma} \mathcal{F}$  as well as an additional compactness result that show that there is subsequence  $x_{n_k} \rightarrow x$  with  $x \in X$ .

## 3. SCHUR’S THEOREM FOR POLYGONS

In this section we want to estimate for how many vertices a polygon that starts tangentially at a sphere stays out of this sphere if the curvature of the polygon is bounded in terms of the radius of the sphere. It turns out that make such an estimate we need Schur’s Comparison Theorem for a polygon and a circle. This theorem for smooth curves basically says that if the curvature of a

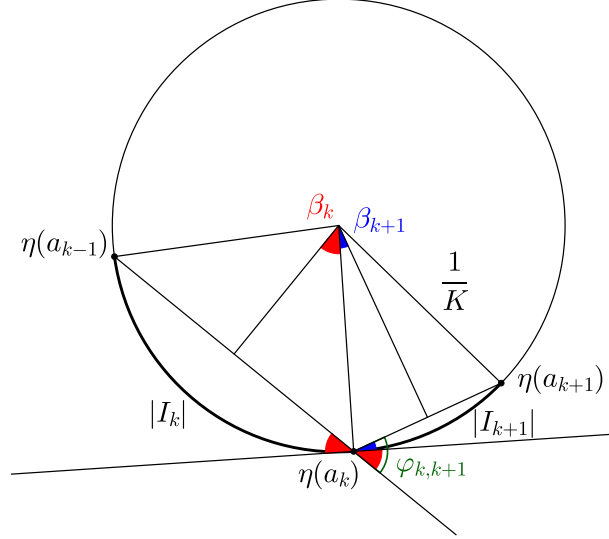


FIGURE 1. The angle  $\varphi_{k,k+1}$  in the proof of Proposition 8.

smooth curve is strictly smaller than the curvature of a convex planar curve then the endpoint distance of the planar convex curve is strictly smaller than the endpoint distance of the other curve. There already is a version of this theorem for classes of curves including polygons, see [29, Theorem 5.1], however, with the drawback that the hypotheses there do not allow to compare polygons and smooth curves.

**Proposition 8 (Schur's Comparison Theorem).**

Let  $p \in C^{0,1}(I, \mathbb{R}^d)$ ,  $I = [0, L]$  be the arc length parametrisation of a polygon with  $\max \text{Curv}_2(p) \leq K$  and  $KL \leq \pi$ . Let  $\eta$  be the arc length parametrisation of a circle of curvature  $K$ . Then

$$|\eta(L) - \eta(0)| < |p(L) - p(0)|.$$

*Proof.* Let  $p(a_k)$  be the vertices of the polygon,  $a_0 = 0$ . We write  $\alpha_{i,j} := \angle(p'(t_i), p'(t_j))$ , where  $t_k$  is an interior point of  $I_k := [a_{k-1}, a_k]$ . From the curvature bound we get  $\alpha_{i,i+1} \leq K \frac{|I_i| + |I_{i+1}|}{2}$  and hence for  $i \leq j$  we can estimate  $\alpha_{i,j} \leq \sum_{k=i}^{j-1} \alpha_{k,k+1} \leq \frac{K}{2} \sum_{k=i}^{j-1} (|I_k| + |I_{k+1}|)$ . Now,

$$\begin{aligned} |p(L) - p(0)|^2 &= \int_I \int_I \langle p'(s), p'(u) \rangle ds du = \sum_{i,j=1}^n \int_{I_i} \int_{I_j} \cos(\alpha_{i,j}) \\ &= \sum_{\substack{i,j=1 \\ i=j}}^n |I_i||I_j| + 2 \sum_{\substack{i,j=1 \\ i < j}}^n |I_i||I_j| \cos(\alpha_{i,j}). \end{aligned}$$

Similarly,

$$\begin{aligned} |\eta(L) - \eta(0)|^2 &= \int_I \int_I \langle \eta'(s), \eta'(u) \rangle ds du \\ &= \sum_{\substack{i,j=1 \\ i=j}}^n \int_{I_i} \int_{I_j} \langle \eta'(s), \eta'(u) \rangle ds du + 2 \sum_{\substack{i,j=1 \\ i < j}}^n \int_{I_i} \int_{I_j} \langle \eta'(s), \eta'(u) \rangle ds du \\ &\leq \sum_{\substack{i,j=1 \\ i=j}}^n |I_i||I_j| + 2 \sum_{\substack{i,j=1 \\ i < j}}^n \langle \eta(a_j) - \eta(a_{j-1}), \eta(a_i) - \eta(a_{i-1}) \rangle. \end{aligned}$$

Write  $\varphi_{i,j} := \angle(\eta(a_j) - \eta(a_{j-1}), \eta(a_i) - \eta(a_{i-1}))$ . Then  $\varphi_{i,j} = \sum_{k=i}^{j-1} \varphi_{k,k+1}$ , because the points  $\eta(a_i)$  form a convex plane polygon. From Figure 1 we see that  $\varphi_{k,k+1} = K \frac{|I_k| + |I_{k+1}|}{2}$  and hence

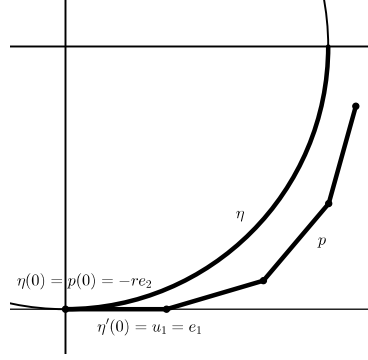


FIGURE 2. The situation in the proof of Corollary 9.

$\alpha_{i,j} \leq \varphi_{i,j}$ . This allows us to continue our estimate

$$\begin{aligned} |\eta(L) - \eta(0)|^2 &\leq \sum_{\substack{i,j=1 \\ i=j}}^n |I_i||I_i| + 2 \sum_{\substack{i,j=1 \\ i<j}}^n |\eta(a_j) - \eta(a_{j-1})| |\eta(a_i) - \eta(a_{i-1})| \cos(\varphi_{i,j}) \\ &< \sum_{\substack{i,j=1 \\ i=j}}^n |I_i||I_i| + 2 \sum_{\substack{i,j=1 \\ i<j}}^n |I_i||I_j| \cos(\varphi_{i,j}) \leq \sum_{\substack{i,j=1 \\ i=j}}^n |I_i||I_j| + 2 \sum_{\substack{i,j=1 \\ i<j}}^n |I_i||I_j| \cos(\alpha_{i,j}) = |p(L) - p(0)|^2. \end{aligned}$$

□

As we only need  $\varphi_{1,n} = 2^{-1}K \sum_{i=1}^{n-1} (|I_i| + |I_{i+1}|) \leq \pi$  we can make do with  $KL \leq \pi + 2^{-1}K(|I_1| + |I_n|)$  instead of  $KL \leq \pi$ .

**Corollary 9 (Tangential polygon stays outside of sphere).**

Let  $p$  be an equilateral polygon of length  $L$  with  $\max \text{Curv}_2(p) \leq K$  and  $KL \leq \frac{\pi}{2}$ . If  $p$  touches a sphere of curvature  $K$  at an endpoint then all other vertices of  $p$  lie outside the sphere.

*Proof.* Without loss of generality we might assume that the sphere is centred at the origin and that  $p$  touches the sphere at  $p(0) = -re_2$  with  $u_1 = e_1$ , where  $r = K^{-1}$  and  $u_i \in \mathbb{S}^{d-1}$  are the directions of the edges. We have to show that  $|p(a_k)| > r$  for  $k = 1, \dots, n$ . Let  $\eta$  be the arc length parametrisation of the circle of radius  $r$  about the origin in the  $e_1, e_2$  plane, starting at  $\eta(0) = p(0)$  with  $\eta'(0) = u_1 = e_1$ . On the unit sphere equipped with the great circle distance, i.e., angle, we have  $\frac{\pi}{2} = d(e_1, e_2) \leq d(e_1, u_1) + \sum_{i=1}^{k-1} d(u_i, u_{i+1}) + d(u_k, e_2)$  and hence  $u_1 = e_1$  and the curvature bound imply

$$\begin{aligned} d(\eta'(a_{k-1}), e_2) &= d(e_1, e_2) - d(e_1, \eta'(a_{k-1})) = \frac{\pi}{2} - d(\eta'(0), \eta'(a_{k-1})) = \frac{\pi}{2} - \int_0^{a_{k-1}} |\eta''| dt \\ &= \frac{\pi}{2} - Ka_{k-1} = \frac{\pi}{2} - K \sum_{i=1}^{k-1} \frac{|I_i| + |I_{i+1}|}{2} \leq \frac{\pi}{2} - \sum_{i=1}^{k-1} d(u_i, u_{i+1}) \leq d(u_k, e_2), \end{aligned}$$

since  $\eta'|_{[0,L]}$  is a parametrisation of the unit circle in the  $e_1, e_2$  plane from  $e_1$  to  $e_2$  with constant speed  $|\eta''| = K$ . Now, we can estimate

$$\begin{aligned} \langle p(a_k) - p(0), p(0) \rangle &= \left\langle \sum_{i=1}^k |I_i| u_i, -re_2 \right\rangle = -r \sum_{i=1}^k |I_i| \cos(d(u_i, e_2)) \\ (2) \quad &\geq -r \sum_{i=1}^k |I_i| \cos(d(\eta'(a_{i-1}), e_2)) \geq -r \sum_{i=1}^k \int_{I_i} \cos(d(\eta'(t), e_2)) dt \\ &= \int_0^{a_k} \langle \eta'(t), -re_2 \rangle dt = \langle \eta(a_k) - \eta(0), \eta(0) \rangle, \end{aligned}$$

as  $d(\eta'(t), e_2) \leq d(\eta'(a_{i-1}), e_2)$  for  $t \in I_i$ . Using Schur's Comparison Theorem, Proposition 8, and (2) we conclude

$$\begin{aligned} |p(a_k)|^2 &= |p(a_k) - p(0) + p(0)|^2 = |p(a_k) - p(0)|^2 + 2\langle p(a_k) - p(0), p(0) \rangle + |p(0)|^2 \\ &> |\eta(a_k) - \eta(0)|^2 + 2\langle \eta(a_k) - \eta(0), \eta(0) \rangle + |\eta(0)|^2 = |\eta(a_k)|^2 = r^2. \end{aligned}$$

□

#### 4. COMPACTNESS

Note, that since the domain is bounded we have  $C^{0,1}(\mathbb{S}_1, \mathbb{R}^d) = W^{1,\infty}(\mathbb{S}_1, \mathbb{R}^d)$ .

##### Proposition 3 (Compactness).

Let  $p_n \in \mathcal{P}_n(\mathcal{K})$  bounded in  $L^\infty$  with  $\liminf_{n \rightarrow \infty} \max \text{Curv}(p_n) < \infty$ . Then there is  $\gamma \in C^{1,1}(\mathbb{S}_1, \mathbb{R}^d)$  and a subsequence

$$p_{n_k} \xrightarrow[k \rightarrow \infty]{W^{1,\infty}(\mathbb{S}_1, \mathbb{R}^d)} \gamma \in \mathcal{C} \quad \text{with} \quad \max \text{Curv}(\gamma) \leq \liminf_{n \rightarrow \infty} \max \text{Curv}(p_n).$$

*Proof. Step 1* Without loss of generality, by taking subsequences if necessary, we might assume  $\max \text{Curv}(p_n) \leq K < \infty$  for all  $n \in \mathbb{N}$ . As  $p_n$  is bounded in  $W^{1,\infty}$  there is a subsequence (for notational convenience denoted by the same indices) converging to  $\gamma \in W^{1,2}(\mathbb{S}_1, \mathbb{R}^d)$  strongly in  $C^0(\mathbb{S}_1, \mathbb{R}^d)$  and weakly in  $W^{1,2}(\mathbb{S}_1, \mathbb{R}^d)$ . First we have to show that  $\gamma$  is also parametrised by arc length, i.e.,  $|\gamma'| = 1$  a.e.. Since  $|p'_n| = 1$  a.e. testing with  $\varphi = \gamma' \cdot \chi_{\{|\gamma'| > 1\}}$ ,  $\chi_A$  the characteristic function of  $A$ , yields

$$\begin{aligned} 0 &\leftarrow \int_{\mathbb{S}_1} \langle p'_n - \gamma', \varphi \rangle dt = \int_{\{|\gamma'| > 1\}} \langle p'_n - \gamma', \gamma' \rangle dt \\ &\leq \int_{\{|\gamma'| > 1\}} (|p'_n| |\gamma'| - |\gamma'|^2) dt = \int_{\{|\gamma'| > 1\}} |\gamma'| \underbrace{(1 - |\gamma'|)}_{< 0} dt \end{aligned}$$

and thus  $|\gamma'| \leq 1 = |p'_n|$  a.e.. Additionally, we know from Schur's Theorem, Proposition 8, that if  $\eta$  is the arc length parametrisation of a circle of curvature  $K$ , then for a.e.  $t$  holds

$$\begin{aligned} |\gamma'(t)| &= \lim_{h \rightarrow 0} \left| \frac{\gamma(t+h) - \gamma(t)}{h} \right| \\ &\geq \lim_{h \rightarrow 0} \lim_{n \rightarrow \infty} \left( \left| \frac{p_n(t+h) - p_n(t)}{h} \right| - \left| \frac{(\gamma(t+h) - p_n(t+h)) - (\gamma(t) - p_n(t))}{h} \right| \right) \\ &= \lim_{h \rightarrow 0} \lim_{n \rightarrow \infty} \left| \frac{p_n(t+h) - p_n(t)}{h} \right| \geq \lim_{h \rightarrow 0} \left| \frac{\eta(t+h) - \eta(t)}{h} \right| = |\eta'(t)| = 1. \end{aligned}$$

**Step 2** Denote by  $p'^-$  and  $p'^+$  the left and right derivative of a polygon. From the curvature bound and Corollary 9 we know that any sphere of curvature  $K$  attached tangentially to the direction  $p_n^+(t)$  at a vertex  $p_n(t)$ , and thus a whole horn torus, cannot contain any vertex of  $p_n$  restricted to  $(t, t + \frac{\pi}{2K})$ , and the same is true for  $p_n^-(t)$  with regard to  $(t - \frac{\pi}{2K}, t)$ . Let

$$(3) \quad t_{n_k} \rightarrow t \quad \text{such that} \quad p_{n_k}(t_{n_k}) \text{ is a vertex} \quad \text{and} \quad p_{n_k}^\pm(t_{n_k}) \rightarrow u^\pm \in \mathbb{S}^{d-1}.$$

Then  $u^+ = u^-$  since

$$\begin{aligned} d(u^+, u^-) &\leq d(u^+, p_{n_k}^+(t_{n_k})) + d(p_{n_k}^+(t_{n_k}), p_{n_k}^-(t_{n_k})) + d(p_{n_k}^-(t_{n_k}), u^-) \\ &\leq d(u^+, p_{n_k}^+(t_{n_k})) + \frac{K}{n_k} + d(p_{n_k}^-(t_{n_k}), u^-) \rightarrow 0. \end{aligned}$$

For every  $t$  we can find a sequence of  $t_{n_k}$  with (3) and thanks to  $p_{n_k} \rightarrow \gamma$  in  $C^0$  the (two) horn tori belonging to  $p_{n_k}(t_{n_k})$  converge to a horn torus at  $\gamma(t)$  in direction  $u^+ = u^-$  such that  $\gamma$  does not enter the torus on the parameter range  $B_{\frac{\pi}{4K}}(t)$ . Then according to [6, Satz 2.14, p.26] holds  $\gamma \in C^{1,1}(\mathbb{S}_1, \mathbb{R}^d)$  and  $\max \text{Curv}(\gamma) \leq K$ . Especially,  $\gamma'(t) = u^\pm$ .

**Step 3** If we had  $\|p'_n - \gamma'\|_{L^\infty} \rightarrow 0$  then for every  $\varepsilon > 0$  there is an  $N$  such that for  $n \geq N$  holds

$$|p_n^+(\frac{i}{n}) - \gamma'(\frac{i}{n})| = |p'_n(t) - \gamma'(\frac{i}{n})| \leq |p'_n(t) - \gamma'(t)| + |\gamma'(t) - \gamma'(\frac{i}{n})| \leq \varepsilon + \frac{K}{n}$$

for all  $i \in \{0, \dots, n-1\}$ ,  $t \in (\frac{i}{n}, \frac{i+1}{n})$ . Hence,

$$(4) \quad \sup_{i=0, \dots, n-1} |p_n^+(\frac{i}{n}) - \gamma'(\frac{i}{n})| \xrightarrow{n \rightarrow \infty} 0.$$

If on the other hand (4) holds then for every  $t$  where  $p_n(t)$  is not a vertex we find  $i = i(n)$  and for every  $\varepsilon > 0$  an  $N$  such that for  $n \geq N$  one has

$$|p_n'(t) - \gamma'(t)| \leq |p_n^+(\frac{i}{n}) - \gamma'(\frac{i}{n})| + |\gamma'(\frac{i}{n}) - \gamma'(t)| \leq \varepsilon + \frac{K}{n} \rightarrow 0.$$

Thus, (4) is equivalent to  $\|p_n' - \gamma'\|_{L^\infty} \rightarrow 0$ . Assume that  $\|p_{n_k}' - \gamma'\|_{L^\infty} \not\rightarrow 0$ . Then there is a sequence of parameters  $t_{n_k}$  as in (3) with  $p_{n_k}^+(t_{n_k}) \rightarrow u^+ \neq \gamma'(t)$ , which contradicts the results of Step 1. Hence  $p_{n_k} \rightarrow \gamma$  in  $W^{1,\infty}$ .  $\square$

**Proposition 4 (Compactness II).**

Let  $p_n \in \mathcal{P}_n(\mathcal{K})$  bounded in  $L^\infty$  with  $\liminf_{n \rightarrow \infty} \Delta_n[p_n]^{-1} < \infty$ . Then there is

$$\gamma \in \mathcal{C}(\mathcal{K}) \cap C^{1,1}(\mathbb{S}_1, \mathbb{R}^d) \quad \text{with} \quad p_{n_k} \rightarrow \gamma \text{ in } W^{1,\infty}(\mathbb{S}_1, \mathbb{R}^d).$$

*Proof.* Without loss of generality let  $\Delta_n[p_n]^{-1} \leq K < \infty$  for all  $n \in \mathbb{N}$ . Note, that  $\Delta_n[p_n]^{-1} < \infty$  means that  $p_n$  is injective. From Proposition 3 we know that there is a subsequence converging to  $\gamma \in \mathcal{C} \cap C^{1,1}(\mathbb{S}_1, \mathbb{R}^d)$  in  $W^{1,\infty}(\mathbb{S}_1, \mathbb{R}^d)$ . It remains to be shown that  $\gamma \in \mathcal{K}$ . In order to deduce this from Proposition 10 we must show that  $\gamma$  is injective. Assume that this is not the case. Then there are  $s \neq t$  with  $\gamma(s) = \gamma(t) = x$ . Let  $r_n := \|\gamma - p_n\|_{L^\infty(\mathbb{S}_1, \mathbb{R}^d)} + \frac{1}{n}$ , i.e.,  $p_n(s), p_n(t) \in B_{r_n}(x)$ , and let  $n$  be large enough to be sure that there are  $u, v$  with  $p_n(u), p_n(v) \notin B_{4r_n}(x)$ . The *singly critical self distance*  $\text{scsd}(p)$  of a polygon  $p$  is given by  $\text{scsd}(p) := \min_{(y,z) \in \text{crit}(p)} |z - y|$ , where  $\text{crit}(p)$  consists of pairs  $(y, z)$  where  $y = p(t)$  and  $z = p(s)$  and  $s$  locally extremizes  $w \mapsto |p(t) - p(w)|^2$ . In [14, Theorem 3.6] it was shown that for  $p \in \mathcal{P}_n$  holds  $\Delta_n[p] = \min\{\min\text{Rad}(p), \text{scsd}(p)\}$ . Since the mapping  $f(w) = |p_n(t) - p_n(w)|$  is continuous with  $f(s) \leq 2r_n$  and  $f(u), f(v) \geq 3r_n$  we have

$$\text{scsd}(p_n) \leq \min_{\alpha} f \leq f(s) = |p_n(t) - p_n(s)| \leq 2r_n \rightarrow 0,$$

where  $\alpha$  is the arc on  $\mathbb{S}_1$  from  $u$  to  $v$  that contains  $s$ . This contradicts  $\Delta_n[p_n]^{-1} \leq K$ . Thus, we have proven the proposition.  $\square$

**Proposition 10 (Convergence of polygons does not change knot class).**

Let  $\gamma \in \mathcal{C} \cap C^{1,1}(\mathbb{S}_1, \mathbb{R}^d)$  be injective and  $p_n \in \mathcal{P}_n(\mathcal{K})$  with  $p_n \rightarrow \gamma$  in  $W^{1,\infty}$ . Then  $\gamma \in \mathcal{K}$ .

*Proof. Step 1* For  $\|p - \gamma\|_{W^{1,\infty}} \leq \frac{\Delta[\gamma]}{2}$  [7, Lemma 4] together with Lemma 11 and [5, 4.8 Theorem (8)] allows us to estimate

$$(5) \quad |\gamma^{-1}(\xi_\gamma(\gamma(s))) - \gamma^{-1}(\xi_\gamma(p(s)))| \leq \tilde{c}^{-1} |\xi_\gamma(\gamma(s)) - \xi_\gamma(p(s))| \leq 2\tilde{c}^{-1} |\gamma(s) - p(s)|.$$

Here,  $\xi_\gamma$  is the nearest point projection onto  $\gamma$ . This means

$$(6) \quad \begin{aligned} |p'(s) - \gamma'(\gamma^{-1}(\xi_\gamma(p(s))))| &\leq |p'(s) - \gamma'(s)| + |\gamma'(s) - \gamma'(\gamma^{-1}(\xi_\gamma(p(s))))| \\ &\leq \|p' - \gamma'\|_{L^\infty} + \Delta[\gamma]^{-1} |s - \gamma^{-1}(\xi_\gamma(p(s)))| \\ &= \|p' - \gamma'\|_{L^\infty} + \Delta[\gamma]^{-1} |\gamma^{-1}(\xi_\gamma(\gamma(s))) - \gamma^{-1}(\xi_\gamma(p(s)))| \\ &\leq \|p' - \gamma'\|_{L^\infty} + \Delta[\gamma]^{-1} 2\tilde{c}^{-1} |\gamma(s) - p(s)| \leq C \|p - \gamma\|_{W^{1,\infty}}. \end{aligned}$$

Note that although we have a fixed parameter  $s$  we still can estimate  $|p'(s) - \gamma'(s)| \leq \|p' - \gamma'\|_{L^\infty}$  since  $p' - \gamma'$  is piecewise continuous. If  $p(s)$  is a vertex the estimate still holds if we identify  $p'(s)$  with either the left or right derivative.

**Step 2** Let  $s_n, t_n \in I$ ,  $s_n < t_n$  with  $\xi_\gamma(p_n(s_n)) = \xi_\gamma(p_n(t_n))$ . We want to show that this situation can only happen for a finite number of  $n$ . Assume that this is not true. Let  $u_n \in [s_n, t_n]$  such that  $p_n(u_n)$  is a vertex and maximizes the distance to  $\gamma(y_n) + \gamma'(y_n)^\perp$  for  $y_n = \gamma^{-1}(\xi_\gamma(p_n(s_n)))$ . For the right derivative  $p_n^+(u_n)$  holds  $d(p_n^+(u_n), \gamma'(y_n)) \geq \frac{\pi}{2}$ . As in (5) we have  $|p_n(s_n) - p_n(t_n)| \leq 4\tilde{c}^{-1} \|p_n - \gamma\|_{W^{1,\infty}}$  and hence for some subsequence  $s_n \rightarrow s_0$ ,  $t_n \rightarrow t_0$  and  $p_n(s_n) \rightarrow \gamma(s_0)$ ,  $p_n(t_n) \rightarrow \gamma(t_0)$  so that  $s_0 = t_0$ , since  $\gamma$  is injective. Therefore also  $p_n(u_n) \rightarrow \gamma(t_0)$ . But on



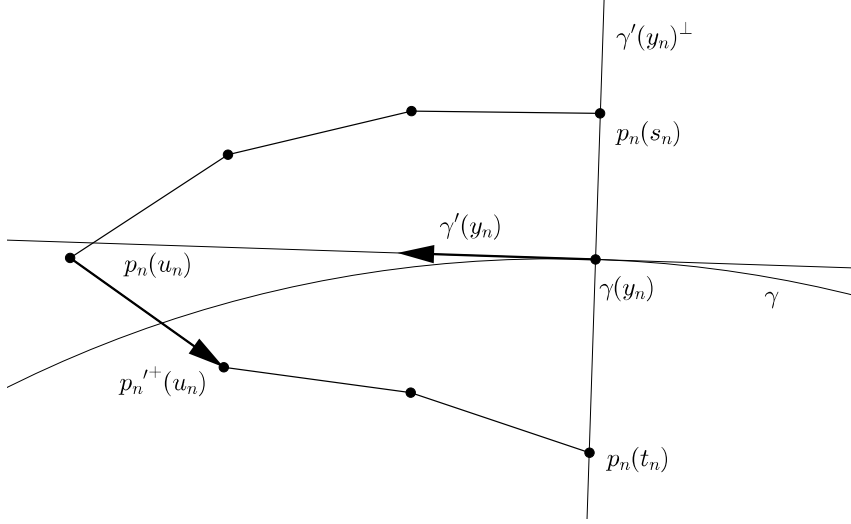


FIGURE 3. The situation in the proof of Proposition 10.

the other hand (6) for  $s = u_n$ ,  $\gamma^{-1}(\xi_\gamma(p_n(u_n))) = z_n$  and  $d$  the distance on the sphere gives a contradiction via

$$\begin{aligned} \frac{\pi}{2} - \frac{\pi}{2} C \|p_n - \gamma\|_{W^{1,\infty}} &\stackrel{(6)}{\leq} d(p^{t+}(u_n), \gamma'(y_n)) - d(p^{t+}(u_n), \gamma'(z_n)) \\ &\leq d(\gamma'(y_n), \gamma'(z_n)) \leq \frac{\pi}{2} \Delta[\gamma]^{-1} |y_n - z_n| \stackrel{(5)}{\leq} \frac{\pi}{2} \Delta[\gamma]^{-1} 2\tilde{c}^{-1} |p_n(s_n) - p_n(u_n)| \rightarrow 0. \end{aligned}$$

**Step 3** Now we are in a situation similar to [9, Proof of Lemma 5], [27, Theorem 4.10] and as there we can construct an ambient isotopy by moving the point  $p_n(s)$  to  $\gamma(\gamma^{-1}(\xi_\gamma(p_n(s))))$  along a straight line segment in the circular cross section of the tubular neighbourhood about  $\gamma$ .  $\square$

**Lemma 11 (Injective locally bi-L. mappings on compact sets are globally bi-L.).**

Let  $(K, d_1)$ ,  $(X, d_2)$  be non-empty metric spaces,  $K$  compact and  $f : K \rightarrow X$  be an injective mapping that is locally bi-Lipschitz, i.e., there are constants  $c, C > 0$  such that for every  $x \in K$  there is a neighbourhood  $U_x$  of  $x$  with

$$c d_1(x, y) \leq d_2(f(x), f(y)) \leq C d_1(x, y) \quad \text{for all } y \in U_x.$$

Then there are constants  $\tilde{c}, \tilde{C} > 0$  with

$$(7) \quad \tilde{c} d_1(x, y) \leq d_2(f(x), f(y)) \leq \tilde{C} d_1(x, y) \quad \text{for all } x, y \in K.$$

*Proof.* By Lebesgue's Covering Lemma we obtain a  $\text{diam}(K) > \delta > 0$  such that  $(B_\delta(x))_{x \in K}$  is a refinement of  $(U_x)_{x \in K}$ . Then  $K_\delta := \{(x, y) \in K^2 \mid d_1(x, y) \geq \delta\}$  is compact and non-empty. Hence

$$0 < \varepsilon := \min_{(x,y) \in K_\delta} d_2(f(x), f(y)) \leq \max_{(x,y) \in K_\delta} d_2(f(x), f(y)) =: M < \infty,$$

since  $\text{diag}(K) \cap K_\delta = \emptyset$  and  $f$  is continuous and injective. Thus

$$d_2(f(x), f(y)) \leq M = C' \delta \leq C' d_1(x, y) \quad \text{for all } x, y \in K_\delta$$

holds for  $C' := M \delta^{-1}$  and

$$c' d_1(x, y) \leq c' \text{diam}(K) = \varepsilon \leq d_2(f(x), f(y)) \quad \text{for all } x, y \in K_\delta$$

for  $c' := \varepsilon \text{diam}(K)^{-1}$ . Choosing  $\tilde{c} := \min\{c, c'\}$  and  $\tilde{C} := \max\{C, C'\}$  yields (7), because  $(x, y) \notin K_\delta$  implies  $y \in B_\delta(x) \subset U_x$ .  $\square$

## 5. THE lim inf INEQUALITY

Using Schur's Theorem for curves of finite total curvature, see for example [29, Theorem 5.1], we can prove Rawdon's result [16, Lemma 2.9.7, p.58] for embedded  $C^{1,1}$  curves. Note, that

especially the estimate from [12, Proof of Theorem 2] that is implicitly used in the proof of [16, Lemma 2.9.7, p.58] holds for  $C^{1,1}$  curves.

**Lemma 12 (Approximation of curves with  $\frac{\text{dcsd}(\gamma)}{2} < \min\text{Rad}(\gamma)$ ).**

Let  $\gamma \in \mathcal{C}(\mathcal{K}) \cap C^{1,1}(\mathbb{S}_1, \mathbb{R}^d)$  and  $p \in \mathcal{P}_n$  for some  $n$  such that

$$\min\text{Rad}(\gamma) - \frac{\text{dcsd}(\gamma)}{2} = \delta > 0 \quad \text{and} \quad \|\gamma - p\|_{L^\infty} < \varepsilon$$

for  $\varepsilon < \delta/4$ . Then

$$\text{dcsd}(p) \leq \text{dcsd}(\gamma) + 2\varepsilon.$$

*Proof.* Let  $\min\text{Rad}(\gamma) - \frac{\text{dcsd}(\gamma)}{2} = \delta > 0$ ,  $\varepsilon < \delta/4$  and set  $d := \frac{1}{2}(\min\text{Rad}(\gamma) + \frac{\text{dcsd}(\gamma)}{2})$ . By [16, Lemma 2.9.7 2., p.58] there are  $(s_0, t_0) \in \overline{A}_{\pi d}^\gamma := \{(s, t) \mid d(s, t) \geq \pi d\}$ , see notation in [16], such that

$$|p(s_0) - p(t_0)| < \text{dcsd}(\gamma) + 2\varepsilon.$$

Now, let  $(\bar{s}, \bar{t}) \in \overline{A}_{\pi d}^\gamma$  such that

$$(8) \quad |p(\bar{s}) - p(\bar{t})| = \min_{(s,t) \in \overline{A}_{\pi d}^\gamma} |p(s) - p(t)| \leq |p(s_0) - p(t_0)| < \text{dcsd}(\gamma) + 2\varepsilon.$$

Then either  $(\bar{s}, \bar{t})$  lie in the open set  $A_{\pi d}^\gamma := \{(s, t) \mid d(s, t) > \pi d\}$  or by [16, Lemma 2.9.7 1., p.58] holds

$$|p(\bar{t}) - p(\bar{s})| \geq \min\text{Rad}(\gamma) + \frac{\text{dcsd}(\gamma)}{2} - 2\varepsilon = \text{dcsd}(\gamma) + \delta - 2\varepsilon > \text{dcsd}(\gamma) + 2\varepsilon,$$

which contradicts (8). Hence  $(\bar{s}, \bar{t})$  lie in the open set  $A_{\pi d}^\gamma$ . This means we can use the argument from [16, Lemma 2.9.8, p.60] to show that  $p(\bar{s})$  and  $p(\bar{t})$  are doubly critical for  $p$  and therefore

$$\text{dcsd}(p) \leq |p(\bar{s}) - p(\bar{t})| \leq \text{dcsd}(\gamma) + 2\varepsilon.$$

□

**Proposition 13 (The lim inf inequality).**

Let  $\gamma \in \mathcal{C}(\mathcal{K})$ ,  $p_n \in \mathcal{P}_n(\mathcal{K})$  with  $p_n \rightarrow \gamma$  in  $W^{1,\infty}$  for  $n \rightarrow \infty$ . Then

$$\Delta[\gamma]^{-1} \leq \liminf_{n \rightarrow \infty} \Delta_n[p_n]^{-1}.$$

*Proof.* By Proposition 4 we might assume without loss of generality that  $\gamma \in C^{1,1}(\mathbb{S}_1, \mathbb{R}^d)$ . In case  $\Delta[\gamma]^{-1} = \max\text{Curv}(\gamma)$  the proposition follows from Proposition 3 and in case  $\Delta[\gamma]^{-1} = \frac{2}{\text{dcsd}(\gamma)} > \max\text{Curv}(\gamma)$  Lemma 12 gives  $\limsup_{n \rightarrow \infty} \text{dcsd}(p_n) \leq \text{dcsd}(\gamma)$ , so that

$$\Delta[\gamma]^{-1} = \frac{2}{\text{dcsd}(\gamma)} \leq \liminf_{n \rightarrow \infty} \frac{2}{\text{dcsd}(p_n)} \leq \liminf_{n \rightarrow \infty} \Delta_n[p_n]^{-1}.$$

□

Clearly, the previous proposition also holds for subsequences  $p_{n_k}$ .

## 6. THE lim sup INEQUALITY

**Proposition 14 (The lim sup inequality).**

For every  $\gamma \in \mathcal{C}(\mathcal{K}) \cap C^{1,1}(\mathbb{S}_1, \mathbb{R}^d)$  there are  $p_n \in \mathcal{P}_n(\mathcal{K})$  with  $p_n \rightarrow \gamma$  in  $W^{1,\infty}$  and

$$\limsup_{n \rightarrow \infty} \Delta_n[p_n]^{-1} \leq \Delta[\gamma]^{-1}.$$

*Proof.* In [20, Proposition 10] we showed that if  $n$  is large enough we can find an equilateral inscribed closed polygon  $\tilde{p}_n$  of length  $\tilde{L}_n \leq 1$  with  $n$  vertices that lies in the same knot class as  $\gamma$ . By rescaling it to unit length via  $p_n(t) = L\tilde{L}_n^{-1}\tilde{p}_n(\tilde{L}_n L^{-1}t)$ ,  $L = 1$ , we could show in addition that  $p_n \rightarrow \gamma$  in  $W^{1,2}(\mathbb{S}_1, \mathbb{R}^d)$ , as  $n \rightarrow \infty$ . It is easily seen, exploiting  $\gamma'$  Lipschitz, that

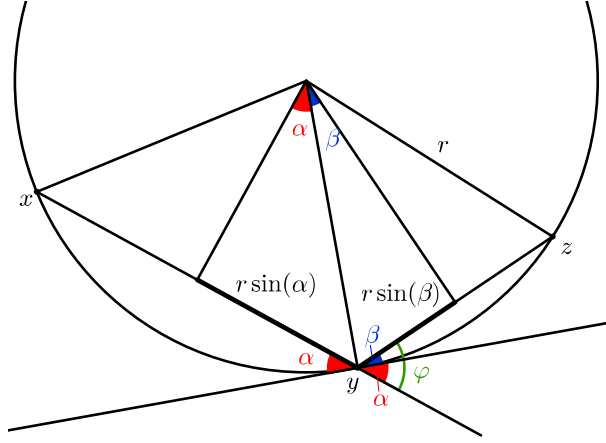


FIGURE 4. Quantities for the computation of discrete curvature.

for  $\gamma \in C^{1,1}(\mathbb{S}_1, \mathbb{R}^d)$  this convergence can be improved to convergence in  $\|\cdot\|_{W^{1,\infty}(\mathbb{S}_1, \mathbb{R}^d)}$ .

**Step 1** From Figure 4 we see  $r = r(x, y, z)$  and

$$\kappa_d(x, y, z) = \frac{4 \tan(\frac{\varphi}{2})}{|x - y| + |z - y|} = \frac{2 \tan(\frac{\alpha + \beta}{2})}{\sin(\alpha) + \sin(\beta)} \frac{1}{r}.$$

Thus, we can estimate

(9)

$$0 \leq \frac{2 \tan(\frac{\alpha + \beta}{2})}{\sin(\alpha) + \sin(\beta)} - 1 \leq \frac{\tan(\alpha + \beta)}{\sin(\alpha) + \sin(\beta)} - 1 \leq \frac{\tan(\alpha + \beta)}{\sin(\alpha + \beta)} - 1 = \frac{1 - \cos(\alpha + \beta)}{\cos(\alpha + \beta)} \leq (\alpha + \beta)^2$$

for  $\alpha, \beta \in [0, \frac{\pi}{6}]$ , since

$$\begin{aligned} \sin(\alpha) + \sin(\beta) &= 2 \left( \sin(\frac{\alpha}{2}) \cos(\frac{\alpha}{2}) + \sin(\frac{\beta}{2}) \cos(\frac{\beta}{2}) \right) \leq 2 \left( \sin(\frac{\alpha}{2}) + \sin(\frac{\beta}{2}) \right) \\ &\leq 2 \frac{\sin(\frac{\alpha}{2}) \cos(\frac{\beta}{2}) + \sin(\frac{\beta}{2}) \cos(\frac{\alpha}{2})}{\cos(\frac{\alpha + \beta}{2})} = 2 \tan(\frac{\alpha + \beta}{2}), \end{aligned}$$

$2 \tan(\frac{x}{2}) \leq \frac{2 \tan(\frac{x}{2})}{1 - \tan^2(\frac{x}{2})} = \tan(x)$  and  $\frac{1}{2} \leq \cos(\alpha + \beta)$ , as well as  $1 - \frac{(\alpha + \beta)^2}{2} \leq \cos(\alpha + \beta)$ . Let  $x = \gamma(s)$ ,  $y = \gamma(t)$  and  $z = \gamma(u)$  for  $s < t < u$  with  $|t - s|, |u - t| \leq \frac{2L}{n}$ . Now, again by Figure 4, we have

$$2Ln^{-1} \geq |t - s| \geq |y - x| = 2 \sin(\alpha)r \geq 4\pi^{-1}\alpha r \geq 4\pi^{-1}\alpha \Delta[\gamma] \geq \alpha \Delta[\gamma],$$

or in other words  $\alpha \leq 2L\Delta[\gamma]^{-1}n^{-1}$  and the same is true for  $\beta$ . According to (9) we can estimate

$$\kappa_d(x, y, z) \leq \frac{1 + (\alpha + \beta)^2}{r} \leq (1 + 16L^2\Delta[\gamma]^{-2}n^{-2})\Delta[\gamma]^{-1}.$$

This means for the sequence of inscribed polygons  $\tilde{p}_n$  that

$$\limsup_{n \rightarrow \infty} \max \text{Curv}(\tilde{p}_n) \leq \Delta[\gamma]^{-1}.$$

**Step 2** According to [16, Lemma 2.8.2, p.46] the total curvature between two doubly critical points of polygons must be at least  $\pi$ . Let  $\tilde{p}_n(s_n)$  and  $\tilde{p}_n(t_n)$  be doubly critical for  $p_n$ . Using the curvature bound from the previous step we obtain  $\pi \leq 2\Delta[\gamma]^{-1}|t_n - s_n|$ , so that  $s_n$  and  $t_n$  cannot converge to the same limit. From Lemma 15 we directly obtain

$$\text{dcsd}(\gamma) \leq \liminf_{n \rightarrow \infty} \text{dcsd}(\tilde{p}_n) \Rightarrow \limsup_{n \rightarrow \infty} \frac{2}{\text{dcsd}(\tilde{p}_n)} \leq \frac{2}{\text{dcsd}(\gamma)} \leq \Delta[\gamma]^{-1}.$$

**Step 3** Noting that  $L\tilde{L}_n^{-1} \rightarrow 1$  the previous steps yield

$$\limsup_{n \rightarrow \infty} \Delta_n[p_n]^{-1} = \limsup_{n \rightarrow \infty} \max \left\{ \max \text{Curv}(p_n), \frac{2}{\text{dcsd}(p_n)} \right\} \leq \Delta[\gamma]^{-1}.$$

□

**Lemma 15 (Limits of double critical points are double critical).**

Let  $\gamma \in \mathcal{C}(\mathcal{K}) \cap C^{1,1}(\mathbb{S}_1, \mathbb{R}^d)$ ,  $p_n \in \mathcal{P}_n$  with  $p_n \rightarrow \gamma$  in  $W^{1,\infty}(\mathbb{S}_1, \mathbb{R}^d)$ . Let  $s_n \neq t_n$  be such that  $s_n \rightarrow s$ ,  $t_n \rightarrow t$  and  $s \neq t$ . If  $p_n(s_n)$  and  $p_n(t_n)$  are double critical for  $p_n$ . Then  $\gamma(s)$  and  $\gamma(t)$  are double critical for  $\gamma$ .

*Proof.* Denote by  $p'^+$  and  $p'^-$  the right and left derivative of a polygon  $p$ . Since the piecewise continuous derivatives  $p'_n$  converge in  $L^\infty$  to the continuous derivatives  $\gamma$  we have

$$0 \geq \langle p_n'^+(s_n), p_n(t_n) - p(s_n) \rangle \cdot \langle p_n'^-(s_n), p_n(t_n) - p(s_n) \rangle \rightarrow \langle \gamma'(s), \gamma(t) - \gamma(s) \rangle^2.$$

The analogous result is obtained if we change the roles of  $s$  and  $t$ , so that  $\gamma(t)$  and  $\gamma(s)$  are double critical for  $\gamma$ .  $\square$

## 7. DISCRETE MINIMIZERS

**Lemma 16 (Computation of  $\Delta_n$  for regular  $n$ -gon  $g_n$ ).**

For  $n \geq 3$  holds

$$\frac{1}{\Delta_n[g_n]} = 2n \tan\left(\frac{\pi}{n}\right).$$

*Proof.* From Figure 5 we see that for the regular  $n$ -gon  $g_n$  of length 1 holds

$$\text{dcsd}(g_n) \geq \frac{1}{n \tan\left(\frac{\pi}{n}\right)}$$

and as  $\text{maxCurv}(g_n) = 2n \tan\left(\frac{\pi}{n}\right)$  by Figure 4 we have shown the proposition.  $\square$

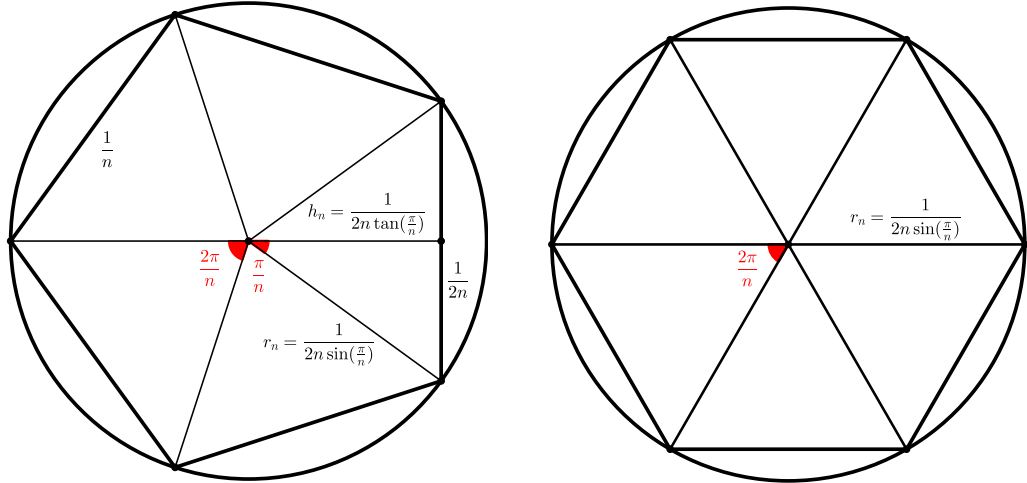


FIGURE 5. Computation of  $\text{dcsd}$  for regular  $n$ -gons of length 1.

**Proposition 5 (Regular  $n$ -gon is unique minimizer of  $\Delta_n^{-1}$ ).**

Let  $p \in \mathcal{P}_n$  then

$$\Delta_n[g_n]^{-1} \leq \Delta_n[p]^{-1},$$

with equality if and only if  $p$  is a regular  $n$ -gon.

*Proof.* According to Fenchel's Theorem for polygons, see [15, 3.4 Theorem], the total curvature is at least  $2\pi$ , i.e.,  $\sum_{i=1}^n \varphi_i \geq 2\pi$  for the exterior angles  $\varphi_i = \angle(x_i - x_{i-1}, x_{i+1} - x_i)$ . This means there must be  $j \in \{1, \dots, n\}$  with  $\varphi_j \geq \frac{2\pi}{n}$ . Thus

$$(10) \quad \Delta_n[p]^{-1} \geq \text{maxCurv}(p) \geq 2n \tan\left(\frac{\varphi_j}{2}\right) \geq 2n \tan\left(\frac{\pi}{n}\right) = \Delta_n[g_n]^{-1}.$$

Equality holds in Fenchel's Theorem if and only if  $p$  is a convex planar curve. If  $\varphi_j < \frac{2\pi}{n}$  there must be  $\varphi_k > \frac{2\pi}{n}$  and thus  $\Delta_n[p]^{-1} > \Delta_n[g_n]^{-1}$ . Since the regular  $n$ -gon  $g_n$  is the only convex equilateral polygon with  $\varphi_i = \frac{2\pi}{n}$  for  $i = 1, \dots, n$  we have equality in (10) if and only if  $p$  is a regular  $n$ -gon.  $\square$

## REFERENCES

- [1] J. Cantarella, J. H. Fu, R. Kusner, and J. M. Sullivan. Ropelength criticality. arxiv:1102.3234, 2011.
- [2] J. Cantarella, R. B. Kusner, and J. M. Sullivan. On the minimum ropelength of knots and links. *Invent. Math.*, 150(2):257–286, 2002.
- [3] X. Dai and Y. Diao. The minimum of knot energy functions. *J. Knot Theory Ramifications*, 9(6):713–724, 2000.
- [4] G. Dal Maso. *An introduction to  $\Gamma$ -convergence*. Progress in Nonlinear Differential Equations and their Applications, 8. Birkhäuser Boston Inc., Boston, MA, 1993.
- [5] H. Federer. Curvature measures. *Trans. Amer. Math. Soc.*, 93:418–491, 1959.
- [6] H. Gerlach. Der Globale Krümmungsradius für offene und geschlossene Kurven im  $\mathbb{R}^n$ . Diplomarbeit, Mathematisch-Naturwissenschaftliche Fakultät, Rheinische Friedrich-Wilhelms-Universität Bonn, 2004.
- [7] O. Gonzalez and R. de la Llave. Existence of ideal knots. *J. Knot Theory Ramifications*, 12(1):123–133, 2003.
- [8] O. Gonzalez and J. H. Maddocks. Global curvature, thickness, and the ideal shapes of knots. *Proc. Natl. Acad. Sci. USA*, 96(9):4769–4773 (electronic), 1999.
- [9] O. Gonzalez, J. H. Maddocks, F. Schuricht, and H. von der Mosel. Global curvature and self-contact of nonlinearly elastic curves and rods. *Calc. Var. Partial Differential Equations*, 14(1):29–68, 2002.
- [10] V. Katritch, J. Bednar, D. Michoud, R. G. Scharein, J. Dubochet, and A. Stasiak. Geometry and physics of knots. *Nature*, 384(6605):142–145, 1996.
- [11] V. Katritch, W. K. Olson, P. Pieranski, J. Dubochet, and A. Stasiak. Properties of ideal composite knots. *Nature*, 388(6638):148–151, 1997.
- [12] R. A. Litherland, J. Simon, O. Durumeric, and E. Rawdon. Thickness of knots. *Topology Appl.*, 91(3):233–244, 1999.
- [13] A. Lytchak. Almost convex subsets. *Geom. Dedicata*, 115:201–218, 2005.
- [14] K. C. Millett, M. Piatek, and E. J. Rawdon. Polygonal knot space near ropelength-minimized knots. *J. Knot Theory Ramifications*, 17(5):601–631, 2008.
- [15] J. W. Milnor. On the total curvature of knots. *Ann. of Math. (2)*, 52:248–257, 1950.
- [16] E. J. Rawdon. *Thickness of polygonal knots*. PhD thesis, University of Iowa, 1997.
- [17] E. J. Rawdon. Approximating the thickness of a knot. In *Ideal knots*, volume 19 of *Ser. Knots Everything*, pages 143–150. World Sci. Publ., River Edge, NJ, 1998.
- [18] E. J. Rawdon. Approximating smooth thickness. *J. Knot Theory Ramifications*, 9(1):113–145, 2000.
- [19] E. J. Rawdon. Can computers discover ideal knots? *Experiment. Math.*, 12(3):287–302, 2003.
- [20] S. Scholtes. Discrete Möbius Energy. arxiv:1311.3056, 2013.
- [21] S. Scholtes. On hypersurfaces of positive reach, alternating Steiner formulæ and Hadwiger’s Problem. arxiv:1304.4179, 2013.
- [22] F. Schuricht and H. von der Mosel. Global curvature for rectifiable loops. *Math. Z.*, 243(1):37–77, 2003.
- [23] F. Schuricht and H. von der Mosel. Characterization of ideal knots. *Calc. Var. Partial Differential Equations*, 19(3):281–305, 2004.
- [24] J. Simon. Physical knots. In *Physical knots: knotting, linking, and folding geometric objects in  $\mathbb{R}^3$  (Las Vegas, NV, 2001)*, volume 304 of *Contemp. Math.*, pages 1–30. Amer. Math. Soc., Providence, RI, 2002.
- [25] A. Stasiak, V. Katritch, J. Bednar, D. Michoud, and J. Dubochet. Electrophoretic mobility of DNA knots. *Nature*, 384(6605):122, 1996.
- [26] A. Stasiak, V. Katritch, and L. H. Kauffman. *Ideal knots*, volume 19 of *Series on Knots and Everything*. World Scientific Publishing Co. Inc., River Edge, NJ, 1998.
- [27] P. Strzelecki, M. Szumańska, and H. von der Mosel. On some knot energies involving Menger curvature. *Topology Appl.*, 160(13):1507–1529, 2013.
- [28] J. M. Sullivan. Approximating ropelength by energy functions. In *Physical knots: knotting, linking, and folding geometric objects in  $\mathbb{R}^3$  (Las Vegas, NV, 2001)*, volume 304 of *Contemp. Math.*, pages 181–186. Amer. Math. Soc., Providence, RI, 2002.
- [29] J. M. Sullivan. Curves of finite total curvature. In *Discrete differential geometry*, volume 38 of *Oberwolfach Semin.*, pages 137–161. Birkhäuser, Basel, 2008.

INSTITUT F. MATHEMATIK, RWTH AACHEN UNIVERSITY, TEMPLERGRABEN 55, D-52062 AACHEN, GERMANY  
 E-mail address: [sebastian.scholtes@rwth-aachen.de](mailto:sebastian.scholtes@rwth-aachen.de)  
 URL: <http://www.instmath.rwth-aachen.de/~scholtes/home/>

# Reports des Instituts für Mathematik der RWTH Aachen

- [1] Bemelmans J.: *Die Vorlesung "Figur und Rotation der Himmelskörper" von F. Hausdorff, WS 1895/96, Universität Leipzig*, S 20, März 2005
- [2] Wagner A.: *Optimal Shape Problems for Eigenvalues*, S 30, März 2005
- [3] Hildebrandt S. and von der Mosel H.: *Conformal representation of surfaces, and Plateau's problem for Cartan functionals*, S 43, Juli 2005
- [4] Reiter P.: *All curves in a  $C^1$ -neighbourhood of a given embedded curve are isotopic*, S 8, Oktober 2005
- [5] Maier-Paape S., Mischaikow K. and Wanner T.: *Structure of the Attractor of the Cahn-Hilliard Equation*, S 68, Oktober 2005
- [6] Strzelecki P. and von der Mosel H.: *On rectifiable curves with  $L^p$  bounds on global curvature: Self-avoidance, regularity, and minimizing knots*, S 35, Dezember 2005
- [7] Bandle C. and Wagner A.: *Optimization problems for weighted Sobolev constants*, S 23, Dezember 2005
- [8] Bandle C. and Wagner A.: *Sobolev Constants in Disconnected Domains*, S 9, Januar 2006
- [9] McKenna P.J. and Reichel W.: *A priori bounds for semilinear equations and a new class of critical exponents for Lipschitz domains*, S 25, Mai 2006
- [10] Bandle C., Below J. v. and Reichel W.: *Positivity and anti-maximum principles for elliptic operators with mixed boundary conditions*, S 32, Mai 2006
- [11] Kyed M.: *Travelling Wave Solutions of the Heat Equation in Three Dimensional Cylinders with Non-Linear Dissipation on the Boundary*, S 24, Juli 2006
- [12] Blatt S. and Reiter P.: *Does Finite Knot Energy Lead To Differentiability?*, S 30, September 2006
- [13] Grunau H.-C., Ould Ahmedou M. and Reichel W.: *The Paneitz equation in hyperbolic space*, S 22, September 2006
- [14] Maier-Paape S., Miller U., Mischaikow K. and Wanner T.: *Rigorous Numerics for the Cahn-Hilliard Equation on the Unit Square*, S 67, Oktober 2006
- [15] von der Mosel H. and Winklmann S.: *On weakly harmonic maps from Finsler to Riemannian manifolds*, S 43, November 2006
- [16] Hildebrandt S., Maddocks J. H. and von der Mosel H.: *Obstacle problems for elastic rods*, S 21, Januar 2007
- [17] Galdi P. Giovanni: *Some Mathematical Properties of the Steady-State Navier-Stokes Problem Past a Three-Dimensional Obstacle*, S 86, Mai 2007
- [18] Winter N.:  *$W^{2,p}$  and  $W^{1,p}$ -estimates at the boundary for solutions of fully nonlinear, uniformly elliptic equations*, S 34, Juli 2007
- [19] Strzelecki P., Szumańska M. and von der Mosel H.: *A geometric curvature double integral of Menger type for space curves*, S 20, September 2007
- [20] Bandle C. and Wagner A.: *Optimization problems for an energy functional with mass constraint revisited*, S 20, März 2008
- [21] Reiter P., Felix D., von der Mosel H. and Alt W.: *Energetics and dynamics of global integrals modeling interaction between stiff filaments*, S 38, April 2008
- [22] Belloni M. and Wagner A.: *The  $\infty$  Eigenvalue Problem from a Variational Point of View*, S 18, Mai 2008
- [23] Galdi P. Giovanni and Kyed M.: *Steady Flow of a Navier-Stokes Liquid Past an Elastic Body*, S 28, Mai 2008
- [24] Hildebrandt S. and von der Mosel H.: *Conformal mapping of multiply connected Riemann domains by a variational approach*, S 50, Juli 2008
- [25] Blatt S.: *On the Blow-Up Limit for the Radially Symmetric Willmore Flow*, S 23, Juli 2008
- [26] Müller F. and Schikorra A.: *Boundary regularity via Uhlenbeck-Rivière decomposition*, S 20, Juli 2008
- [27] Blatt S.: *A Lower Bound for the Gromov Distortion of Knotted Submanifolds*, S 26, August 2008
- [28] Blatt S.: *Chord-Arc Constants for Submanifolds of Arbitrary Codimension*, S 35, November 2008
- [29] Strzelecki P., Szumańska M. and von der Mosel H.: *Regularizing and self-avoidance effects of integral Menger curvature*, S 33, November 2008
- [30] Gerlach H. and von der Mosel H.: *Yin-Yang-Kurven lösen ein Packungsproblem*, S 4, Dezember 2008
- [31] Buttazzo G. and Wagner A.: *On some Rescaled Shape Optimization Problems*, S 17, März 2009
- [32] Gerlach H. and von der Mosel H.: *What are the longest ropes on the unit sphere?*, S 50, März 2009
- [33] Schikorra A.: *A Remark on Gauge Transformations and the Moving Frame Method*, S 17, Juni 2009
- [34] Blatt S.: *Note on Continuously Differentiable Isotopies*, S 18, August 2009
- [35] Knappmann K.: *Die zweite Gebietsvariation für die gebeulte Platte*, S 29, Oktober 2009
- [36] Strzelecki P. and von der Mosel H.: *Integral Menger curvature for surfaces*, S 64, November 2009
- [37] Maier-Paape S., Imkeller P.: *Investor Psychology Models*, S 30, November 2009
- [38] Scholtes S.: *Elastic Catenoids*, S 23, Dezember 2009
- [39] Bemelmans J., Galdi G.P. and Kyed M.: *On the Steady Motion of an Elastic Body Moving Freely in a Navier-Stokes Liquid under the Action of a Constant Body Force*, S 67, Dezember 2009
- [40] Galdi G.P. and Kyed M.: *Steady-State Navier-Stokes Flows Past a Rotating Body: Leray Solutions are Physically Reasonable*, S 25, Dezember 2009

- [41] Galdi G.P. and Kyed M.: *Steady-State Navier-Stokes Flows Around a Rotating Body: Leray Solutions are Physically Reasonable*, S 15, Dezember 2009
- [42] Bemelmans J., Galdi G.P. and Kyed M.: *Fluid Flows Around Floating Bodies, I: The Hydrostatic Case*, S 19, Dezember 2009
- [43] Schikorra A.: *Regularity of  $n/2$ -harmonic maps into spheres*, S 91, März 2010
- [44] Gerlach H. and von der Mosel H.: *On sphere-filling ropes*, S 15, März 2010
- [45] Strzelecki P. and von der Mosel H.: *Tangent-point self-avoidance energies for curves*, S 23, Juni 2010
- [46] Schikorra A.: *Regularity of  $n/2$ -harmonic maps into spheres (short)*, S 36, Juni 2010
- [47] Schikorra A.: *A Note on Regularity for the  $n$ -dimensional  $H$ -System assuming logarithmic higher Integrability*, S 30, Dezember 2010
- [48] Bemelmans J.: *Über die Integration der Parabel, die Entdeckung der Kegelschnitte und die Parabel als literarische Figur*, S 14, Januar 2011
- [49] Strzelecki P. and von der Mosel H.: *Tangent-point repulsive potentials for a class of non-smooth  $m$ -dimensional sets in  $\mathbb{R}^n$ . Part I: Smoothing and self-avoidance effects*, S 47, Februar 2011
- [50] Scholtes S.: *For which positive  $p$  is the integral Menger curvature  $\mathcal{M}_p$  finite for all simple polygons*, S 9, November 2011
- [51] Bemelmans J., Galdi G. P. and Kyed M.: *Fluid Flows Around Rigid Bodies, I: The Hydrostatic Case*, S 32, Dezember 2011
- [52] Scholtes S.: *Tangency properties of sets with finite geometric curvature energies*, S 39, Februar 2012
- [53] Scholtes S.: *A characterisation of inner product spaces by the maximal circumradius of spheres*, S 8, Februar 2012
- [54] Kolasiński S., Strzelecki P. and von der Mosel H.: *Characterizing  $W^{2,p}$  submanifolds by  $p$ -integrability of global curvatures*, S 44, März 2012
- [55] Bemelmans J., Galdi G.P. and Kyed M.: *On the Steady Motion of a Coupled System Solid-Liquid*, S 95, April 2012
- [56] Deipenbrock M.: *On the existence of a drag minimizing shape in an incompressible fluid*, S 23, Mai 2012
- [57] Strzelecki P., Szumańska M. and von der Mosel H.: *On some knot energies involving Menger curvature*, S 30, September 2012
- [58] Overath P. and von der Mosel H.: *Plateau's problem in Finsler 3-space*, S 42, September 2012
- [59] Strzelecki P. and von der Mosel H.: *Menger curvature as a knot energy*, S 41, Januar 2013
- [60] Strzelecki P. and von der Mosel H.: *How averaged Menger curvatures control regularity and topology of curves and surfaces*, S 13, Februar 2013
- [61] Hafizogullari Y., Maier-Paape S. and Platen A.: *Empirical Study of the 1-2-3 Trend Indicator*, S 25, April 2013
- [62] Scholtes S.: *On hypersurfaces of positive reach, alternating Steiner formulæ and Hadwiger's Problem*, S 22, April 2013
- [63] Bemelmans J., Galdi G.P. and Kyed M.: *Capillary surfaces and floating bodies*, S 16, Mai 2013
- [64] Bandle, C. and Wagner A.: *Domain derivatives for energy functionals with boundary integrals; optimality and monotonicity.*, S 13, Mai 2013
- [65] Bandle, C. and Wagner A.: *Second variation of domain functionals and applications to problems with Robin boundary conditions*, S 33, Mai 2013
- [66] Maier-Paape, S.: *Optimal  $f$  and diversification*, S 7, Oktober 2013
- [67] Maier-Paape, S.: *Existence theorems for optimal fractional trading*, S 9, Oktober 2013
- [68] Scholtes, S.: *Discrete Möbius Energy*, S 11, November 2013
- [69] Bemelmans, J.: *Optimale Kurven – über die Anfänge der Variationsrechnung*, S 22, Dezember 2013
- [70] Scholtes, S.: *Discrete Thickness*, S 12, Februar 2014